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10/555,894	11/07/2005	Jordi Tormo i Blasco	5000-0135PUS1	2720	
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FALLS CHURG	CH, VA 22040-0747	ART UNIT	PAPER NUMBER		
		1624			
			NOTIFICATION DATE	DELIVERY MODE	
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## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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	Application No.	Applicant(s)
	10/555,894	TORMO I BLASCO ET AL.
Office Action Summary	Examiner	Art Unit
	Noble Jarrell	1624
The MAILING DATE of this communication Period for Reply	appears on the cover sheet wit	h the correspondence address
A SHORTENED STATUTORY PERIOD FOR REWHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory per  - Failure to reply within the set or extended period for reply will, by state Any reply received by the Office later than three months after the material patent term adjustment. See 37 CFR 1.704(b).	E DATE OF THIS COMMUNIC R 1.136(a). In no event, however, may a re- riod will apply and will expire SIX (6) MONT atute, cause the application to become ABA	ATION.  ply be timely filed  THS from the mailing date of this communication.  ANDONED (35 U.S.C. § 133).
Status		
Responsive to communication(s) filed on 16     This action is <b>FINAL</b> . 2b) ☐ T     Since this application is in condition for allow closed in accordance with the practice under	his action is non-final. wance except for formal matte	
Disposition of Claims		
4) ☐ Claim(s) 1,2 and 5-16 is/are pending in the 4a) Of the above claim(s) 6-10 and 12 is/are 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-2,5,11,13-16 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and	e withdrawn from consideratio	n.
<u> </u>		
9) The specification is objected to by the Exam  10) The drawing(s) filed on is/are: a) a  Applicant may not request that any objection to to the Replacement drawing sheet(s) including the cortain the cor	accepted or b) objected to be the drawing(s) be held in abeyand rection is required if the drawing(	ce. See 37 CFR 1.85(a). s) is objected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for fore a) All b) Some * c) None of:  1. Certified copies of the priority docume 2. Certified copies of the priority docume 3. Copies of the certified copies of the p application from the International Bur * See the attached detailed Office action for a	ents have been received. ents have been received in Appriority documents have been reau (PCT Rule 17.2(a)).	oplication No received in this National Stage
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	Paper No(s	ummary (PTO-413) /Mail Date formal Patent Application _·

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## **DETAILED ACTION**

### Current Status of 10 / 555894

1. Claim objections have been overcome by the amendment filed 1/16/08. Since variable R<sup>4</sup> can only be pyrazole or a triazole ring, claim 1 only contains elected subject matter.

2. Claims 1-2 and 5-16 are pending in the instant application. Since claims 6-10 and 12 are withdrawn, they are not being considered. Consequently, claims 1-2, 5, 11, and 13-16 are being examined in this office action.

# Rejections Maintained / New Rejections based on New or Amended Claims Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
  - 1. Determining the scope and contents of the prior art.
  - 2. Ascertaining the differences between the prior art and the claims at issue.
  - 3. Resolving the level of ordinary skill in the pertinent art.
  - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 5. Claims 1-2, 5, and 11, and 13-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Grote et al. (WO 02/74753, published 26 September 2002, Reference BB of April 26, 2007 IDS). Grote et al. teach structures I-1 and I-29 in table 1 (page 36). The core structure for table I is shown below.

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$$R^3$$
 $R^4$ 
 $R^5$ 
 $R^7$ 
 $R^9$ 
 $R^2$ 
 $R^6$ 
 $R^8$ 

Core Structure for Table 1

The following table shows the groups for compounds I-1 and I-29.

Compound	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R⁵	R <sup>6</sup>	R <sup>7</sup>	R <sup>8</sup>	R <sup>9</sup>
I-1	Pyrazolyl-1	CI	Isopropyl	Н	CI	F	Н	Н	Н
I-29	1,2,4-triazolyl	CI	Isopropyl	Н	CI	F	Н	Н	Н

These compounds render compounds of claim 1-2 and 5 obvious, except for the fact that they do not have an oxo group attached at the 5-position of the pyrazole and the triazole. Grote et al. do not explicitly prepare a compound of formula I where an oxo group is attached to the 5-postion of the pyrazole or 1,2,4-triazole ring. However, on page 3, it states that variable R<sup>a</sup>, which is a substituent on the pyrazole or triazole ring, can be oxo, among other groups. The elected species, example 3, is held unobvious over compound I-2 of table 1, which only differs from compound I-1 in that R<sup>3</sup> is (*S*)-CH(CH<sub>3</sub>)CF<sub>3</sub> and R<sup>5</sup> and R<sup>9</sup> are F. Claim 11 is obvious over Grote et al. because on page 46, example 1, the reference discloses a composition consisting of 10 % of the active compound, 63 % cyclohexanone, and 27% of an emulsifier, for the purposes of treating leaves of an *Alternaria solani*. Based in this logic, Grote et al. are obvious over instant claims 1-2, 5, and 11.

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The motivation for attaching variable  $R^a$  in Grote et al. (page 1, lines 25-34, of the specification) to variable  $R^1$  in Grote et al. (page 1, lines 17-22, of the specification) is based on MPEP 2144.08, which cites *In Stratoflex, Inc. v. Aeroquip Corp.*, 713 F. 2d 1530. In this case, the court noted, "the question under 35 U.S.C. 103 is not whether the differences [between the claimed invention and the prior art] would have been obvious" but "whether the claimed invention as a whole would have been obvious." One of ordinary skill in the art would be motivated to make any of the species that are taught in the genus of the reference with a reasonable expectation of success of obtaining compounds with analogous properties. Thus, claims 1-2, 5, 11 and the elected species are unobvious over Grote et al. Claims 13-16 are rendered obvious because the ring can be substituted by an oxo, halogen, or  $C_1$ - $C_6$ -alkyl, among other groups for variable  $R^a$ . In other words, Grote et al. are making hydrogen, an oxo, halogen, and  $C_1$ - $C_6$ -alkyl groups equivalent to one another. This rejection is maintained because Grote et al. assert that an oxo group is equivalent to hydrogen because the ring can be substituted with any of the groups that are included as a part of variable  $R^a$ .

## Double Patenting

6. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

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Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

7. Claims 1-2, 5, 11, and 13-16 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-4 and 7 of U.S. Patent No. 7,153,860 ('860) (cited in previous office action). Although the conflicting claims are not identical, they are not patentably distinct from each other because they contain overlapping subject material as well as species that could be embraced by both sets of claims. Instant claims 1-3 and 5 overlap with claims 1-4 of '860. The following table shows example of overlap between the instant claims and the claims of '860.

Instant claims 1-2, 5	<u>'860 claims 1-4</u>		
$R^4$ is $Y = C$ or $N$	R <sup>1</sup> is pyrazole, 1,2,4-triazole, 1,23-triazole, etc.		
R <sup>a</sup> is hydrogen, alkyl,	R <sup>a</sup> is halogen, hydroxyl, cyano, oxo, nitro		
cycloalkyl	amino, mercapto, etc.		
R <sup>1</sup> , R <sup>2</sup> are C₁-C <sub>6</sub> -alkyl	R₃, R₄ are hydrogen, alkyl		
L <sub>n</sub> where L is halogen,	R <sup>5</sup> : halogen, R <sup>6</sup> : hydrogen, halogen, alkyl;		
cyano, alkyl and n is 1-5	R <sup>7</sup> , R <sup>8</sup> , R <sup>9</sup> : hydrogen, halogen, alkyl		

Variable R<sup>4</sup> of the instant claims is equivalent to the combined groups R<sup>1</sup> and R<sup>a</sup> of '860 because R<sup>a</sup> is a substituent of ring R<sup>1</sup> in the '860 claims. It is important to note an oxo group is

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one possibility for variable R<sup>a</sup> in '860, and therefore a case for obvious-type double patenting is made between the instant claims and '860. In addition, there are 2 more sets of equivalencies: R<sup>1</sup> and R<sup>2</sup> of the instant claims are equivalent to R<sub>3</sub> and R<sub>4</sub> of '860, and L<sub>n</sub> of the instant claims is equivalent to variables R<sup>5</sup> through R<sup>9</sup> of '860. Two examples of species that can be embraced by both sets of claims are species of tables 1 and 11 of '860 (column 12, lines 24-40 and column 13, lines 25-40). When variables R<sup>3</sup> and R<sup>4</sup> are both ethyl (as in entry A-3 of table A (column 16, line 30), this species is embraced by both sets of claims. Claim 11 of the instant application is equivalent to claim 7 of the '860 patent because both claims are for compositions suitable for controlling fungi, comprising a solid or liquid carrier and a compound of formula I. Thus, instant claims 1-2, 5, and 11 have a problem of obvious-type double patenting with the '860 claims 1-4 and 7. Claims 13-16 are rendered obvious because the claimed subgenera of 10/555894 can be encompassed by both sets of claims. In addition, variable Ra can be a halogen, oxo, or a C<sub>1</sub>-C<sub>6</sub>-alkyl group. Grote et al. are making hydrogen, an oxo, halogen, and C<sub>1</sub>-C<sub>6</sub>-alkyl groups equivalent to one another. This rejection is maintained because Grote et al. assert that an oxo group is equivalent to hydrogen because the ring can be substituted with any of the groups that are included as a part of variable Ra.

### **Conclusion**

8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

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CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Noble Jarrell whose telephone number is (571) 272-9077. The examiner can normally be reached on M-F 7:30 A.M - 6:00 P.M. EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mr. James O. Wilson can be reached on (571) 272-0661. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Noble Jarrell/ Examiner, Art Unit 1624 /James O. Wilson/ Supervisory Patent Examiner Art Unit 1624